

415 Rec'd PCT/PTO 31 AUG 2000

FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>36-1363</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/623316</b> Unknown
INTERNATIONAL APPLICATION NO. <b>PCT/GB99/00986</b>	INTERNATIONAL FILING DATE <b>30 March 1999</b>	PRIORITY DATE CLAIMED <b>31 March 1998</b>
TITLE OF INVENTION <b>SIGNALLING</b>		
APPLICANT(S) FOR DO/EO/US <b>BUCK et al</b> <i>Laser George</i>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☒ has been transmitted by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ have been transmitted by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. To 16. Below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information. **Amended Sheets for pages 3 and 14**

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO		ATTORNEY'S DOCKET NUMBER	
Unknown <b>09/623316</b>		<b>PCT/GB99/00986</b>		<b>36-1363</b>	

17. <input checked="" type="checkbox"/> The following fees are submitted:					<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$970.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$840.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO .....\$690.00 -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$670.00 -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$96.00						
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					\$	840.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$	0.00
<b>CLAIMS</b>		<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims		18	-20 =	0	X	\$18.00
Independent Claims		3	-3 =	0	X	\$78.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)						\$260.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$	<b>840.00</b>
Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28).						0.00
<b>SUBTOTAL =</b>					\$	<b>840.00</b>
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).						0.00
<b>TOTAL NATIONAL FEE =</b>					\$	<b>840.00</b>
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property					+	\$ 40.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1210.00 - Small Entity = \$605.00)						\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>					\$	<b>880.00</b>
					Amount to be:	
					refunded	\$
					Charged	\$

a. ☒ A check in the amount of \$880.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this form is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.

d. ☒ The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

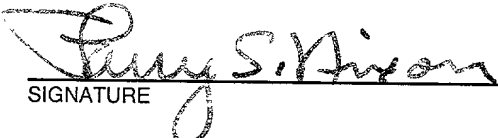
**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**

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**25,640**  
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**August 31, 2000**  
Date

09/623316

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

**BUCK et al**

Atty. Ref.: 36-1363

Serial No. **Unknown**

Group:

National Phase of **PCT/GB99/00986**

Filed: **August 31, 2000**

Examiner:

For: **SIGNALLING**

\* \* \* \* \*

**August 31, 2000**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

Prior to calculation of the filing fee and in order to place the above identified application in better condition for examination, please amend the claims as follows:

**IN THE CLAIMS**

Claim 7, line 1, delete "any preceding claim" and insert -- claim 1 --.

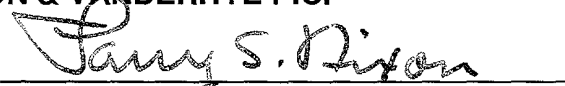
**REMARKS**

The above amendments are made to place the claims in a more traditional format.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

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SIGNALLING

This invention relates to methods and apparatus for signalling between a first terminal and a second, via a network employing a signalling protocol.

5 One such access signalling protocol is defined in ITU Q2931, entitled "Broadband Integrated Services Digital Network (B-ISDN) - Digital Subscriber Signalling System No 2 (DSS 2) - User-Network Interface (UNI) Layer 3 Specification for Basic Call/Connection Control", well known, and available from the International Telecommunications Union, of Geneva, Switzerland.

10 This protocol defines the signalling procedures to be followed by a first terminal in accessing a second to set up and operate an ISDN communications session.

Many conditions may exist or occur during such a communications session. For example, the remote terminal may be busy, or unable to signal at a  
15 certain rate, or unobtainable (damaged or switched off). Alternatively, the network may be congested, or a busy tone may be generated from the local exchange. Data may be lost, or delayed, or corrupted in passage.

Many such protocols, such as Q2931, define a state machine; that is to say, a machine that can exist only in one of a number of predefined states, and  
20 can move from state to state in response to the occurrence of a predetermined event or combination of events. Actions are performed in moving the terminal from one state to another.

Such events may be, for example, the receipt of a defined signal from the second terminal via the network, or the elapsing of a predetermined time in the  
25 state. The actions taken in response may involve external signalling, or resetting an internal timer, and moving to a new state. The protocol defines the set of states, and the set of actions, and the events triggering the performance of the actions and changes of state.

A simple call set-up sequence starts with a first terminal moving from the  
30 on-hook state to a first active state in response to user action, dialling a second terminal, and then entering a wait state awaiting the next event. The two terminals pass through a succession of such states during handshaking signalling, until the call is set up.

If unusual conditions in the network or at either terminal occur, however, it is necessary to use appropriate exception-handling behaviour, or else one or both terminals may "hang", awaiting a "normal" event which will never occur. Such conditions will be interpreted as events, corresponding either to receipt of a  
 5 "signal" (which may be noise) or elapsing of a time period within which the normal signal should have been received.

The total number of such unusual conditions is large, and each may require different handling depending on the state the terminal is in at the time. This leads to a large number of responses in a set such as Q2931. Encoding such  
 10 protocols as an operating control program for a terminal may require, for Q2931, 200 kilobytes to 1 Megabyte of executable code.

It might be supposed that the widespread availability of cheap memory and disk drive components would readily accommodate a program of such a size.

The present inventors have realised, however, that new types of  
 15 computing devices such as Network Computers (NCs), Personal Digital Assistants (PDAs), and Java-enabled mobile phones will find it difficult to store large communications programs since they generally, for reasons of size and cost, lack a magnetic media drive such as a hard disc drive, and have small PROM, EPROM or flash memory devices.

20 Further, the present inventors have realised that within a given communications protocol such as Q2931, a very large proportion of the actions (more than half) are for handling exceptional events, and only a relatively small core of actions are routinely used.

Accordingly, the present invention provides a system in which a terminal  
 25 only stores code to execute this core behaviour. The remainder of the responses, for handling unusual events, are stored elsewhere at a store in the network (for example at a Network Server computer forming a node of the network). On encountering an exceptional event, the terminal receives data from the store to enable it to handle the event.

30 The terminal may signal to the store to request such data on encountering an exceptional event. The signal may indicate the event, and the state of the terminal on encountering it.

In one embodiment, the data comprises code which the terminal can store and execute as an additional action, for use in future communications sessions. Thus, the core of actions stored at the terminal can be augmented by the addition of those extra actions needed to deal with only those exceptional events which  
5 have occurred in the past (and may be likely to recur). The storage may be long-term, or the terminal may discard little-used stored extra actions.

In another embodiment, the data comprises instructions executed by the terminal to handle the exceptional event, but not stored for future use. Thus, local storage requirements are minimised.

10 The store and/or the terminals may initially determine whether the stored core behaviour is current, and if not, current actions may be downloaded from the store to the terminals for future use. At this point, it may be mentioned that WO 97/048238 discloses a network switch (not a terminal) which operates conventional communications protocols, and is controlled from a separate service control unit for  
15 enhanced service calls.

Other aspects, embodiments and preferred features of the invention will be apparent from the following description and claims, together with the advantages thereof.

Embodiments of the invention will now be described, by way of example  
20 only, with reference to the accompanying drawings in which:

Figure 1 is a block diagram showing schematically the elements of a communications network embodying a first embodiment of the present invention;

Figure 2 is a block diagram showing schematically the elements of a terminal forming part of Figure 1;

25 Figure 3 is a block diagram showing schematically the elements of a network server forming part of Figure 1;

Figure 4 is a flow diagram showing schematically the overall flow of operations of the terminal of Figure 2 during a call;

Figure 5a is a flow diagram showing schematically the process of  
30 operation of the terminal of Figure 2 following the process of Figure 4 on encountering an unknown event;

Figure 5b is a flow diagram showing schematically the flow of operation of the network server of Figure 3 in response to the performance of Figure 5a by the terminal;

35 Figures 6a and 6b are schematic diagrams illustrating the structure of messages exchanged in the first embodiment;

Figure 7 is a flow diagram replacing that of Figure 5a in a second embodiment of the invention;

Figure 8 is a diagram illustrating the structure of a message replacing that of Figure 5b in a third embodiment of an invention;

5        Figure 9 is a flow diagram illustrating the operation of the terminal in performing a downloaded subroutine according to the third embodiment;

Figure 10a is a flow diagram illustrating an initial process formed prior to that of Figure 4 at the terminal in a fourth embodiment of the invention; and

10        Figure 10b is a flow diagram showing schematically the corresponding process performed in response at the network server in the fourth embodiment.

#### First embodiment

Referring to Figure 1, the present embodiment comprises a first terminal 10, a second terminal 20, and a telecommunications network 30 including a network node 40.

15        The term "terminal" herein indicates that the terminals are external to the network (i.e. are at network terminations) rather than implying any particular structural or functional limitations.

The first terminal 10 comprises a Network Computer. Referring to Figure 2, it consists of a control circuit 11 (e.g. a microprocessor or microcomputer such as a Pentium 2 processor available from Intel, or a StrongARM reduced instruction set computer (RISC) available from Acorn Ltd).

20        Coupled to the control circuit 11 (e.g. via buses) are an instruction store 12 (comprising, for example, a ROM or an EPROM or a Flash programmable memory) holding the operating system, applications programs and communications programs for the terminal 10, and a read/write working memory 13 (e.g. a RAM) for retaining data and transitory programs.

The operating system may comprise Windows 95 (TM) or Windows CE (TM) and is arranged to accept a downloaded executable program subroutine, store it in the working memory 13, and execute it.

30        Further provided is a communications port 14, comprising a physical connector for connection to an ISDN line 31 connected to the network 30, and a pair of logical ports 15, 16, one (15) for connection to a data channel (e.g. an ISDN B channel) and one for connection to a signalling channel (e.g. an ISDN D

channel). Other elements provided may comprise user output devices such as a loudspeaker, and user input devices such as a keyboard, cursor control device (e.g. mouse) or microphone. Where the device is intended as a set-top box, for co-operation with an existing television set, it further comprises a video output port, which may be a SCART connector port, or an RF-modulated aerial cable connector socket.

Held within the instruction store 12 is executable code for causing the control circuit 11 to perform a subset of the actions of the User Access Side of the Q2931 protocol, by sensing incoming signals on the data and signalling ports 15, 16 and generating and supplying outgoing signals to those ports.

The second terminal 20 may be identical to the first for carrying out a bi-directional communications session with the first (e.g. a digital videotelephony session), or may comprise for example a computer carrying files of data to be downloaded by the first (using, for example, File Transfer Protocol (FTP)).

The telecommunications network 30 is an ISDN network in this embodiment, comprising a plurality of Asynchronous Transfer Mode (ATM) switching nodes 32 interconnected by high-bandwidth links (e.g. fibre optic cables) carrying data in ATM cells (i.e. packets).

Referring to Figure 3, the network node 40 comprises a computer 41 and a store 42 storing data corresponding to executable code for the Q2931 signalling protocol. At the same (or a different) network node 40 is a computer (e.g. computer 41) arranged to implement the Network Access Side (NAS) actions of the Q2931 set.

Similarly, the second terminal 20 executes the User Access Side (UAS) actions for Q2931. Thus, on setting up a new session from the first terminal to the second, the terminal 10 and computer 41 are able to carry out a signalling dialogue, to enable the computer 41 to set up a connection from the first to the second.

The actions stored in the form of executable code within the store 13 comprise:

30

Section 5.1 - Normal Call Behaviour except:

5.1.1 Where Time-out conditions occur



5.1.2 .1 Where VCI is not available

5.1.2.3 Where VPCI or VCI not available

5.1.4 Where requested QoS not available

5.1.4

- 5 5.1.5 Where requested service is not authorised or not available or Time-out conditions occur

5.1.8

Section 5.2 - Normal Call Behaviour except:

10

5.2.1 Where Time-out conditions occur

5.2.2.2.2 Where no compatible user equipment exists

5.2.3 Where value not supported by network

5.2.3.4 Where no VCI available

- 15 5.2.3.5 Where specified VPCI or VCI is not available

5.2.6 Where requested QoS cannot be provided

5.2.5.7 Where user is incompatible

5.2.5.4

5.2.7 When Time-out condition occurs

20

Section 5.4 - Normal Call Behaviour except:

5.4.2

5.4.3 Where Time-out condition occurs

- 25 5.4.4 Where time-out condition occurs

5.4.5

30 In the foregoing, it will be understood that "VCI" indicates an ATM Virtual Channel Indicator; "VPCI" indicates an ATM Virtual Path Connection Indicator, and QoS indicates Quality of Service.

The progress of a typical call will now be described with reference to Figure 4. In a step 110, the control circuit 11 performs call set up signalling. The signals are recognised by the network server 40, which creates a connection to the

second terminal 20. If, in a step 112, an exceptional event is detected (for example, a Time out, or an "error" or "busy" signal) due, for example, to network damage or congestion, the processor 11 signals to the network server 40 in step 124 as will be described in greater detail below.

5        When the call set up is completed (step 114), the call session takes place (step 116). After completion of the call session, call clear down signalling is commenced to close down the call. (step 118). If, during the call cleardown signalling, an unknown event is encountered (step 120) the terminal 10 signals to the network server 40 in step 124 as will be described in greater detail below.

10       Thus, if no unusual or unexpected events occur, call set up, progress and clear down is exactly like a normal ISDN call session.

Referring now to Figure 5a, where an unexpected event occurs, in step 124 the control circuit 11 generates a request message and transmits the request message in step 126 to the network server via the signalling port 16.

15       Referring now to Figure 6a, the request message 200 includes a field 202 indicating the current state of the terminal 10; field 204 indicating whether the event was a time-out, a received message or signal or a parameter (i.e a field within a signal or message); and respective fields 206 ,208, and 210 indicating, respectively, the identity of the input signal, the time-out, or the parameter(s)  
20       which caused the unknown event.

Referring now to Figure 5b, the network server 40 receives the request signal in a step 134, and analyses the state of the terminal 10 and the identity of the unknown event, to determine what the event was, using the protocol code store 42, in a step 136. Next, in step 138, the network server 40 retrieves from  
25       the protocol code store 42 data indicating what the correct response according to Q2931 should have been, and in step 140, the network server creates and sends an exception response message 250.

Referring now to Figure 6b, the response message 250 comprises a task list field 252 listing the tasks that the access signalling process must perform next;  
30       an output field 254 containing any output message that needs to be sent in response to the unknown event; and a next state field 256 indicating the next state according to the Q2931 protocol after performance of the tasks and

outputting of the output message. These collectively form the action taken in response to the event according to the protocol.

The terminal 10 receives the response message 250 in a step 128 of Figure 5a, and in step 130 the control circuit 11 executes the contents of the response message, by performing the listed tasks in field 252, outputting any message in the field 254 via the signalling port 16, and then entering the next state identified in the field 256.

In this embodiment, therefore, the control program stored within the program store 12 includes routines for interpreting the tasks downloaded within the task list field, and causing the control unit 11 to execute these, and for causing the control unit to put the terminal into one of the predetermined states of the Q2931 protocol, and for causing the control unit to output the contents of the output signal field downloaded from the network at the signalling port 16, effectively parsing and executing the downloaded instructions from the network server 40 in real time as if they were a stored protocol for dealing with the unknown event.

Thus, in this embodiment, there is no need for the terminal 10 to store additional information above the code defining the "core" behaviour within the code store 13, which may therefore be kept compact.

## 20 Second Embodiment

Although the exceptional events for which the terminal 10 does not store response actions are typically relatively rarely occurring in the first embodiment, nonetheless some types of such event may, if they occur once, recur later (for example because of some recurrent or persistent network congestion or damage).

25 Accordingly, in this embodiment, the response message from the network 31 includes data which is stored at the terminal 10 to enable the terminal 10 to recognise and handle the event concerned in future without returning to the network server 40. This embodiment may therefore improve the response speed of the terminal 10 in future, and may lead to lower signalling volumes over the network 30 if the exceptional event recurs frequently. In more general terms, the core actions stored on the terminal 10 are updated and adapted to reflect the fact that conditions initially thought to be exceptional may in fact become routinely or frequently encountered.

In this embodiment, the hardware components are the same as in the first and will be referred to by the same reference numerals. However, as shown in Figure 7, the process of Figure 5a is modified to include an additional step 132 of storing the response data within the read/write memory 13, as a supplement to the  
5 stored control program.

After the request message is generated in step 124, in step 125 it is used to search the memory 13 for earlier stored messages having the same current terminal state and unknown event. If (step 127) no such stored data is located (indicating that the unknown event has not previously been encountered)  
10 processing continues at step 126 as described in the first embodiment. If, on the other hand, it is determined that the memory 13 includes stored data indicating that the same unknown event was encountered in the same terminal state previously, then the response data stored together with that request message data (in a previous execution of step 132) are retrieved in step 129, and executed in  
15 step 131, in the same manner as the execution in step 130 described above in the first embodiment.

The downloaded event handling data described in the first embodiment could simply be stored in step 132, together with the contents of the request message to which it was a response.

20 In this case, on future occurrences of an unknown event, the processor 11 is arranged to search the read/write memory 13 for a stored request message having an unknown event and current terminal state matching those currently encountered, and to retrieve and parse the corresponding response message which was stored together therewith.

25 In this case, the second embodiment functions exactly like the first embodiment but with interrogation of the memory 13 serving in place of signalling to the network server 40 where the unknown event has been encountered previously.

### Third Embodiment

30 Whereas, in the second embodiment, the event handling data is stored in the form of instructions to be parsed and executed by a high-level interpreter program at the terminal 10, in this embodiment, low-level executable code for implementing the action for handling the unknown event is downloaded from the

network server 40, the response message 200 of Figure 6b being replaced by that 201 of Figure 8 comprising serialised code for execution.

The code may be in the form of an intermediate programming language representation, such as Java (TM) code or Pascal P-code, which can be executed  
5 by a "virtual machine" interpreter or compiler forming part of the control program stored in the control program store 12.

Alternatively, the code may be low-level machine code specific to the architecture of the control circuit processor 11, in which case the request message of Figure 6a is modified to include a field indicating the processor type of the  
10 control circuit 11, and the network server 40 is arranged either to store multiple encoded programs for executing the protocol, in the machine languages of different common processors (e.g. Intel processors, Motorola processors, Acorn processors and the like), or to store multiple compilers for generating code for each such processor from a single stored high-level representation of the protocol.

15 On receiving the response message of Figure 8, the control circuit 11 stores the code in the memory 13. The control program for executing the actions is therefore distributed between the original "core" action modules stored in the store 12, and the downloaded modules stored in the memory 13. Referring to Figure 9, the stored module consists generally of a logical test (step 302) of the  
20 processor state and the unknown event followed, if they match, by execution (step 304) of the remaining downloaded code to handle the action, and if not, by a RETURN statement.

Thus, in this embodiment, no additional parsing program is required within the terminal 10 for executing the downloaded event handling data, which may be  
25 advantageous where only small numbers of exceptional events are expected to occur.

Preferably, in this embodiment, to prevent over-accumulation of very rarely used actions within the memory 13, the control circuit 11 is arranged to count the number of communications sessions initiated since downloading each action  
30 module and the number of occasions on which that module has been executed, and to erase from the memory 13 any module which is not executed within a predetermined number of communications sessions (for example, 100 sessions).

Thus, events which are genuinely rare do not, over the long term, cause the reduction in storage capacity in the terminal 10. Other measures of the frequency of use of the downloaded modules could, of course, be substituted for that just described.

#### 5 Fourth Embodiment

In this embodiment, the apparatus is as described in the preceding embodiments and the same reference numerals will be employed.

In this embodiment, the operation of the terminal 10 described in relation to Figure 4 is modified as shown in Figure 10a, and the network server 40 performs the additional process of Figure 10b.

In this embodiment, it is noted that the core signalling behaviour may change over time. This may arise firstly because the Q2931 protocol is varied or added to, or it may arise because events which were initially infrequent become part of the core signalling behaviour, and *vice versa*.

15 Accordingly, in this embodiment, the core actions stored within the instructions store 12 carry a version number. Over time, the core behaviour may change and on each such change, the version number is incremented. The program store 12 in this embodiment is electrically reprogrammable by the terminal 10.

20 On each attempt to set up a call by or to the terminal 10, the terminal 10 signals to the network server 40 a message which includes the version number of the core actions it currently stores, in step 102 of Figure 10a. In step 152 of Figure 10b, this message is received at the network server 40 and in step 154, the network server 40 transmits back a message indicating the current version number  
25 of the core actions (i.e. the version number stored in relation to the core actions stored at the network server 40 itself).

In a step 104 of Figure 10a this is received by the terminal 10 and in a step 106 the control circuit 11 compares the two version numbers. If they match, control circuit 11 resumes with the process of Figure 4 described above to execute  
30 any one of the first, second or third embodiments as already described. The same test is performed in step 156 at the network server 40.

If the version numbers do not match, then the terminal 10 and network server 40 communicate in steps 108 and 158 to transmit a current version of the

actions, as executable code, from the network server 40 to be stored in the memory 12 for future use by the terminal 10. The process of Figure 4 in relation to the first, second or third embodiments described above is then performed using the newly downloaded set of core actions.

- 5           Thus, the core action set stored on each of the terminals is updated to be current prior to each communications session.

          Rather than downloading an entire replacement executable core program, it would be possible to download a list of those action subroutines or modules which have changed, together with the replacement code for those modules, enabling the control circuit 11 to amend the existing control program by deletion and replacement of parts of the program, rather than completely replacing it. Alternatively, a self-executing program for performing the same operation could be downloaded from the network server 40.

- One or both (or, for a multi-terminal session such as an audio or video conference, all) of the terminals may embody this aspect of the invention and accordingly such protocol updating may be performed on some or all of the terminals.

#### Other Embodiments And Variations

- It will be clear to the skilled reader that the foregoing embodiments are merely by way of example and that many other alternatives and modifications are possible within the spirit of the invention. Accordingly, the present application is not limiting to the above disclosed embodiments but encompasses any such variations or modifications, and any or all novel subject matter contained herein.

- For example, rather than storing the actions as executable code at the terminals, it is possible to store them, and, by the same token, to download them, in the form of high-level representations such as sequence description language (SDL) code, provided a suitable interpreter program is resident on the terminal.

- Although the invention has been described in the context of a terminal comprising a set-top box for communication with a television set via a video output port, it will be clear that it is applicable to other devices with limited memory or storage (for example having no hard drive or tape drive) such as personal digital assistants (PDAs), notepad and handheld computers, mobile phones, and the like. It is also applicable, of course, to devices without limited

memory and storage capacity, such as main frame computers, workstations or servers where it is desired to achieve the benefits of a readily upgradable protocol.

Although the invention has been described in relation to the Q2931 ISDN access protocols, it will immediately be apparent that it is equally applicable to the  
5 access signalling protocols of any other communications standard such as the GSM mobile communications protocol or its equivalents in other countries, and to communications protocols other than for access and cleardown signalling.

Although in the foregoing the terminal 10 signals to the network server 40 to indicate the unknown event and terminal state, it is possible that in some  
10 networks or under some conditions, the network server may itself be able to infer these from records of the signalling which has taken place held within the network itself and may therefore be able to supply the event handling data without requiring an initiating signal from the terminal 10.

Similarly, the network server 40 may be able to infer that certain events  
15 for which there is no responsive action within the core behaviour (e.g. the likelihood of certain types of busy state) may occur based on knowledge of current network traffic conditions, or (e.g. that the terminal makes use of rare signalling procedures) from knowledge about the other terminal involved, or the nature of the session being set up

20 Accordingly, request signalling from the terminal 10 is not essential to the operation of the invention.

Although updating only the core behaviour is described in the above embodiments, it would equally be possible to implement the entire signalling protocol where the storage available to each terminal is large enough, and to  
25 perform the process described in relation to the fourth embodiment to update the entire protocol. Accordingly, the feature of storage of only a limited subset of the protocol is not essential to the above described fourth embodiment, and protection is or may separately be sought for the fourth embodiment independently of such a feature.



CLAIMS

1. A method of communications employing a predetermined communications protocol defining respective responses to predetermined events, comprising:

5 separating said protocol into a first group of responses to corresponding first events, and a second group of responses to corresponding second events, wherein said first events occur frequently relative to said second events;

storing said first group at a first communications terminal, storing at least said second group at a store remote from said first terminal, and interconnected  
10 therewith via a communications channel;

communicating from said first terminal using said first group of said protocols;

on detecting an event other than one of said first events at said first terminal, signalling event-handling data from said store to said first terminal; and

15 communicating from said first terminal using said event-handling data.

2. A method according to claim 1, in which, when the detected event is of the group of second events, said event-handling data comprises at least the responses of said second group which correspond thereto.

20

3. A method according to claim 2, in which the first terminal is arranged to store those responses of said second group received from the store on receipt thereof, for future use in response to further occurrence of the corresponding event.

25

4. A method according to claim 3, in which the first terminal is arranged to delete said stored responses under predetermined conditions.

5. A method according to claim 4, in which the predetermined conditions  
30 comprise non-use of the stored responses for a predetermined period of use.

6. A method according to claim 1, in which said event-handling data comprises data defining instructions for handling the detected event.

35

7. A method according to any preceding claim, wherein the protocol is for use of an ISDN communications channel.

- 5 8. A communications system comprising;  
 a first terminal,  
 a second terminal interconnectable with the first via a telecommunications network, and  
 a store connected to said network;  
 10 in which:  
 the second terminal is arranged to communicate using a communications protocol defining a set of responses to respective conditions;  
 the first terminal is arranged to store, and communicate using, a subset of said protocol; and  
 15 the store is arranged to cooperate with the first terminal for handling conditions requiring a response within the set but not the subset.

9. A communications terminal for use with a communications protocols defining a set of responses to respective predetermined events, comprising;  
 20 a communications port for connection to a communications channel;  
 a signalling port for connection to a signalling channel; and  
 a store for storing data defining a core subset of said responses corresponding to a core subset of said events; and  
 a controller for controlling communications via the communications and  
 25 signalling ports in accordance with said core subset;  
 the terminal being arranged to detect events not within said core subset, and to receive event-handling data via said signalling port, and  
 the controller being arranged to handle said detected events in accordance with said received event-handling data.

- 30 10. A terminal according to claim 9, in which said store is rewritable, and the terminal is arranged to store therein data derived from said event-handling data, and corresponding to one or more responses of said set which are not of said core

subset, and the controller is for controlling communications via the communications and signalling ports in accordance with said core subset and said stored additional responses.

5 11. A terminal according to claim 10, the terminal being arranged to erase said additional responses under predetermined conditions.

12. A terminal according to claim 9, in which said controller is arranged to accept said event-handling data as one or more communications signalling  
10 instructions for immediate execution.

13. A terminal according to claim 9, the terminal being arranged to signal said detected events via said signalling port and to receive said event-handling data in response thereto.

15

14. A terminal according to claim 13, the terminal being arranged to signal, for each said detected event, the internal state of the terminal prior to receipt thereof via said signalling port.

20 15. A terminal according to claim 9, wherein said store does not comprise a movable magnetic storage medium.

16. A terminal according to claim 15, which lacks a movable magnetic storage medium.

25

17. A terminal according to claim 9, which comprises a network client terminal.

18. A terminal according to claim 17, which comprises a video output port for  
30 co-operation with a television set.

ABSTRACTSIGNALLING

5           A method of communications employing a communications protocols  
defining respective responses to predetermined events, comprising:

separating said protocol into a first group of behaviours defining responses  
to corresponding first, relatively frequently occurring, events, and a second group  
of behaviours defining responses to corresponding second, relatively infrequently

10 occurring, events;

storing said first group at a first communications terminal,  
storing at least said second group at a store remote from said first terminal, and  
interconnected therewith via a communications channel;

communicating from said first terminal using said first;

15           on detecting an event other than one of said first events at said first  
terminal, signalling event-handling data from said store to said first terminal; and

communicating from said first terminal using said event-handling data.

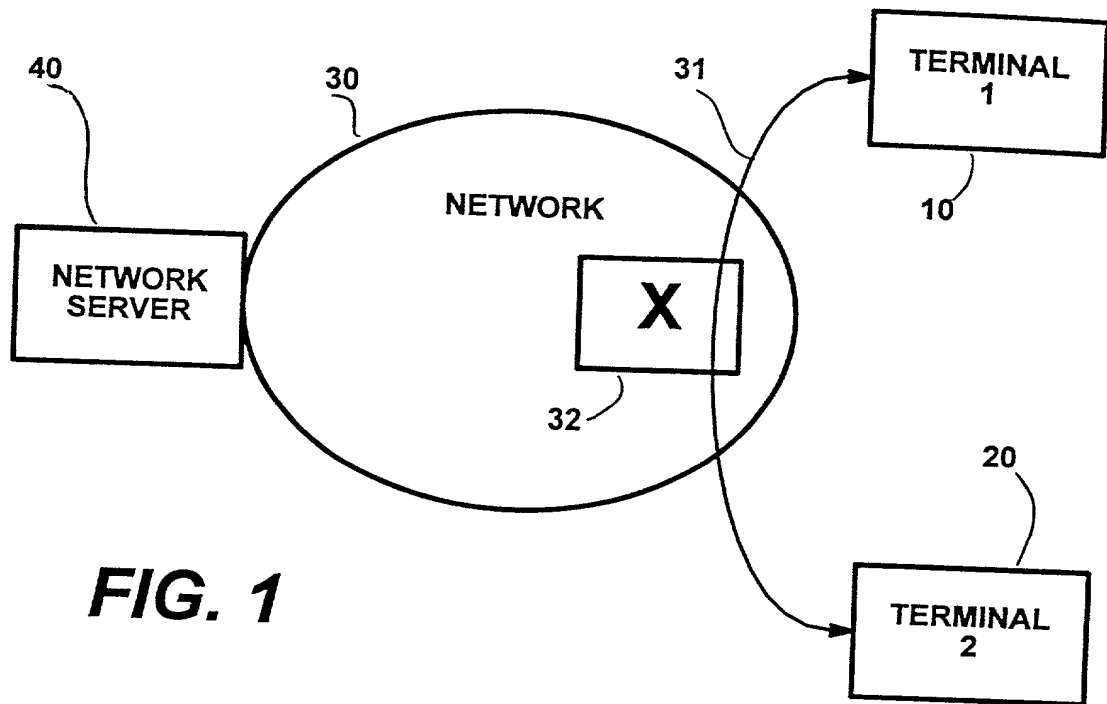
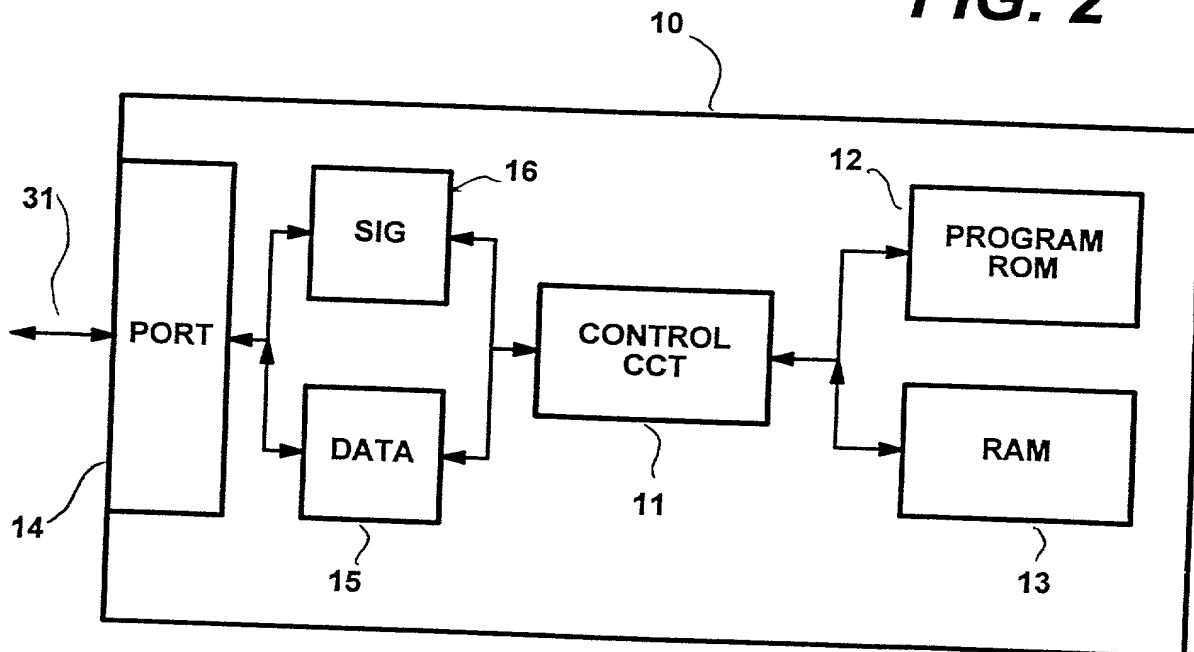
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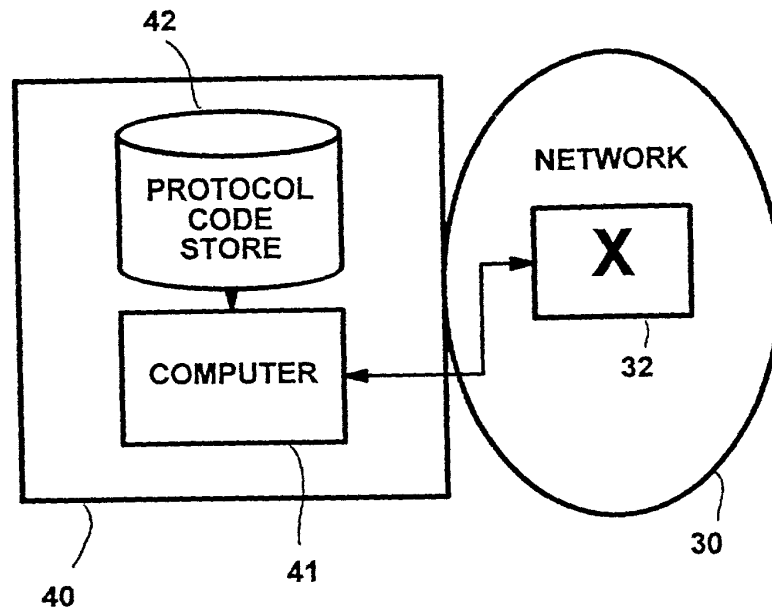
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>H04Q 11/04, 3/00</b>		<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/51056</b> <b>(43) International Publication Date:</b> 7 October 1999 (07.10.99)
<b>(21) International Application Number:</b> PCT/GB99/00986 <b>(22) International Filing Date:</b> 30 March 1999 (30.03.99)  <b>(30) Priority Data:</b> 9806945.3                      31 March 1998 (31.03.98)                      GB 98302512.3                      31 March 1998 (31.03.98)                      EP  <b>(71) Applicant (for all designated States except US):</b> BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY [GB/GB]; 81 Newgate Street, London EC1A 7AJ (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BUCK, Roger, George [GB/GB]; 53 St. Olaves Road, Kesgrave, Ipswich, Suffolk IP5 3RE (GB). KING, John, Robert [GB/GB]; 143 High Street, Wickham Market, Woodbridge, Suffolk IP13 0RD (GB).  <b>(74) Agents:</b> MUSKER, David, Charles et al.; R.G.C. Jenkins & Co., 26 Caxton Street, London SW1H 0RJ (GB).			<b>(81) Designated States:</b> AU, CA, SG, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> <u>METHOD AND APPARATUS FOR SIGNALLING BETWEEN TERMINALS</u>			
<b>(57) Abstract</b>  A method of communications employing a communications protocols defining respective responses to predetermined events, comprising: separating said protocol into a first group of behaviours defining responses to corresponding first, relatively frequently occurring, events, and a second group of behaviours defining responses to corresponding second, relatively infrequently occurring, events; Storing said first group at a first communications terminal, storing at least said second group at a store remote from said first terminal, and interconnected therewith via a communications channel; communicating from said first terminal using said first group of said protocols; on detecting an event other than one of said first events at said first terminal, signalling event-handling data from said store to said first terminal; and communicating from said first terminal using said event-handling data.			

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**FIG. 1****FIG. 2**

**FIG. 3**

## Exception\_Request

200

Parameter	Parameter Description
202 Current State	Q2931 state currently in
204 Exception Trigger	Choice of Input/Time-out/Parameter. This will distinguish what has caused the exception
206 Exception Input	Required for Input trigger and probably Parameter trigger
208 Exception Time-out	Name of expired timer
210 Exception Parameter List	List of unidentified parameters

## Exception\_Response

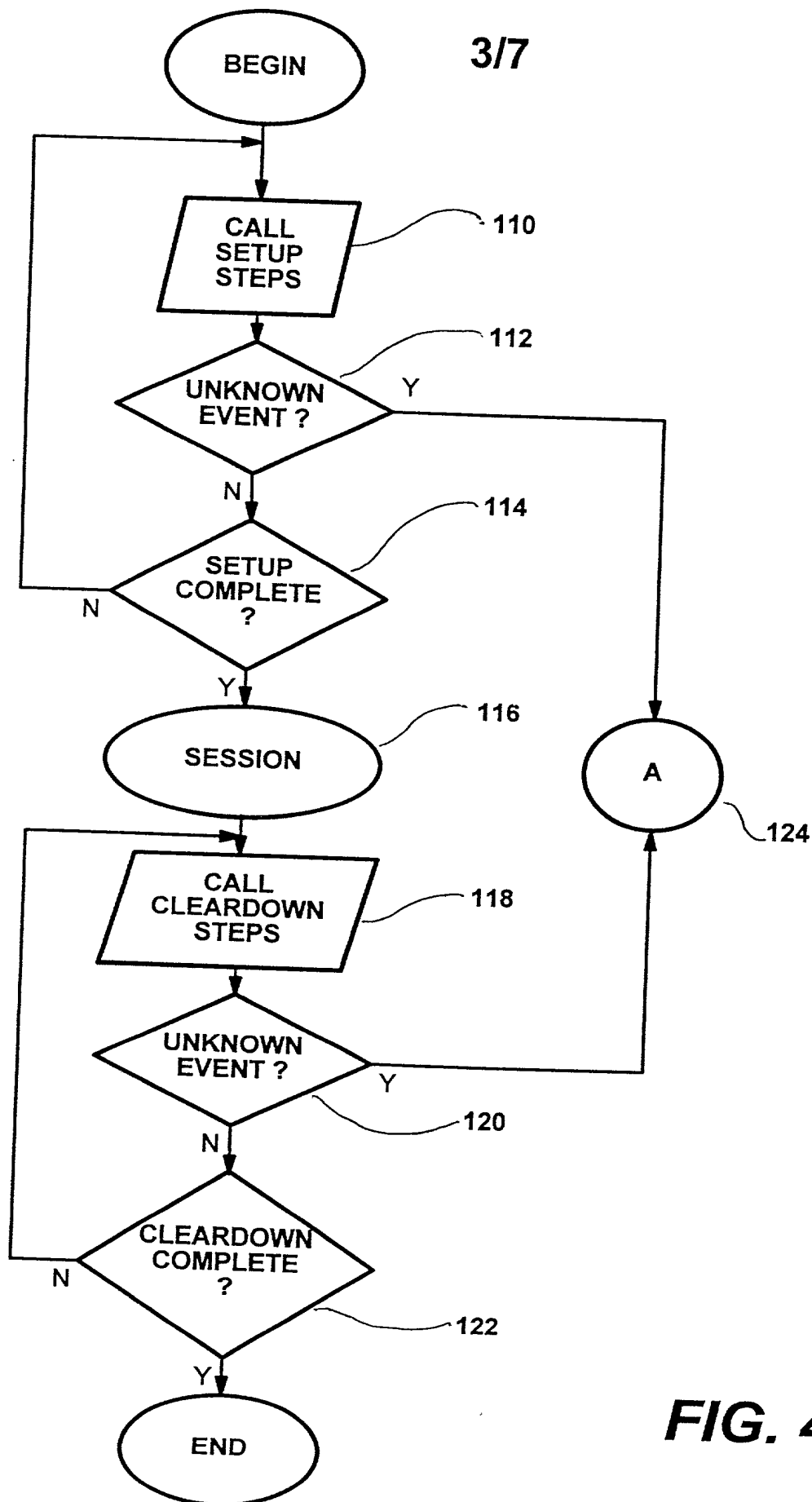
(a) 250

Parameter	Parameter Description
212 Task List	List of tasks that the access signalling process must perform e.g. parameter value assignment, decisions
214 Output	Any output message that needs to be sent
216 Next State	Q2931 state after tasks and output

(b)

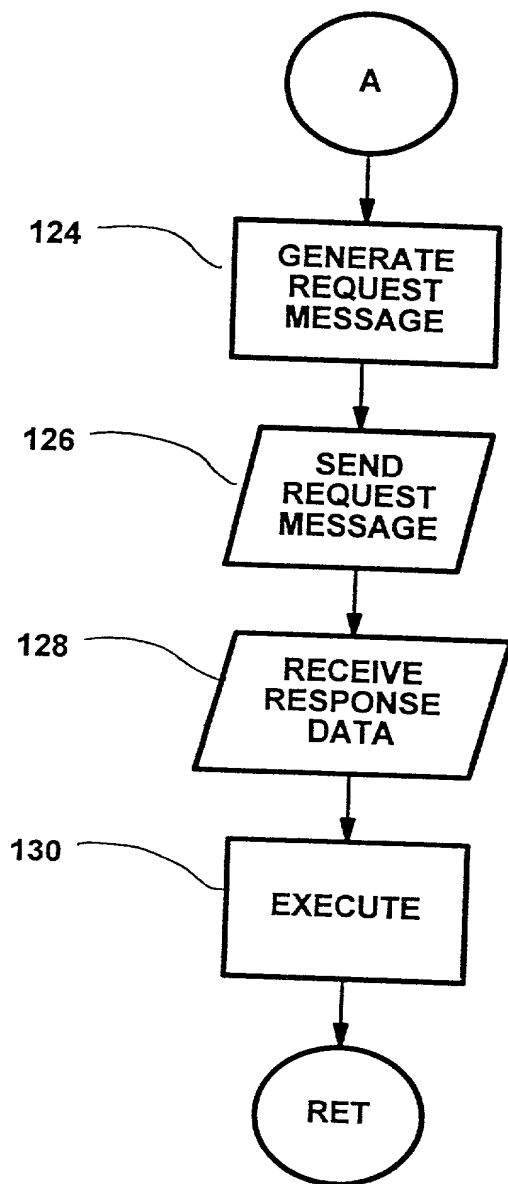
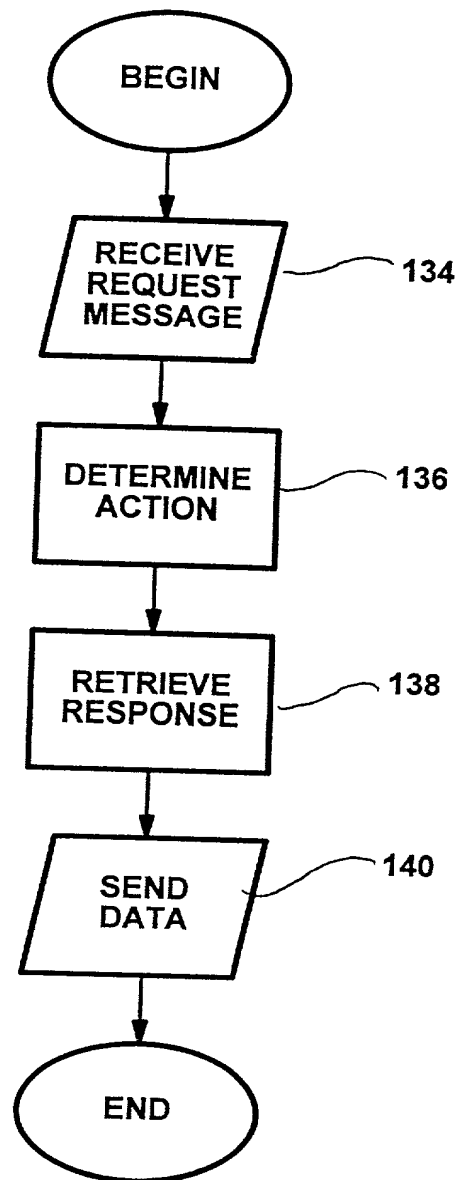
**FIG. 6**

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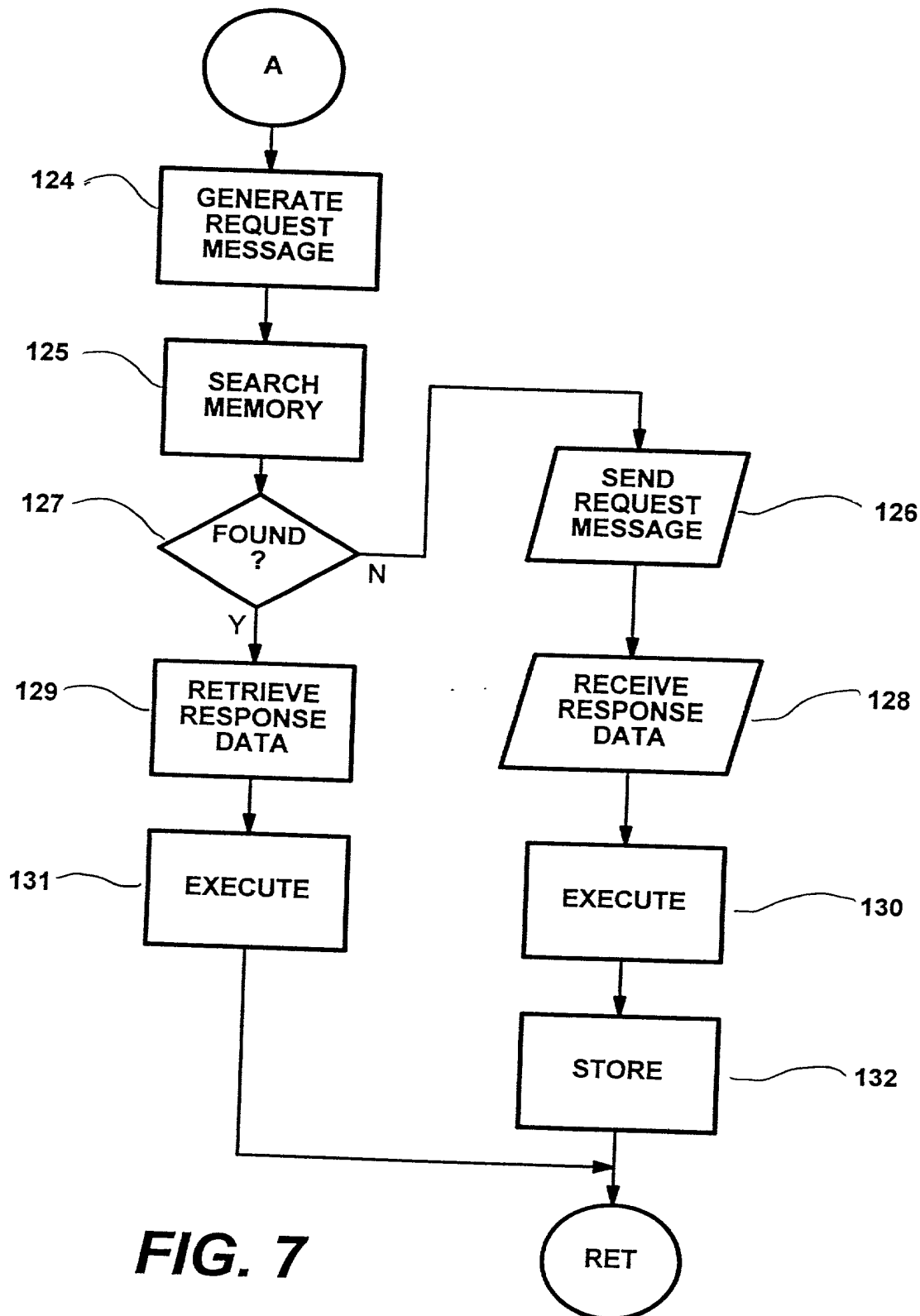
**FIG. 4**



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**FIG. 5a****FIG. 5b**

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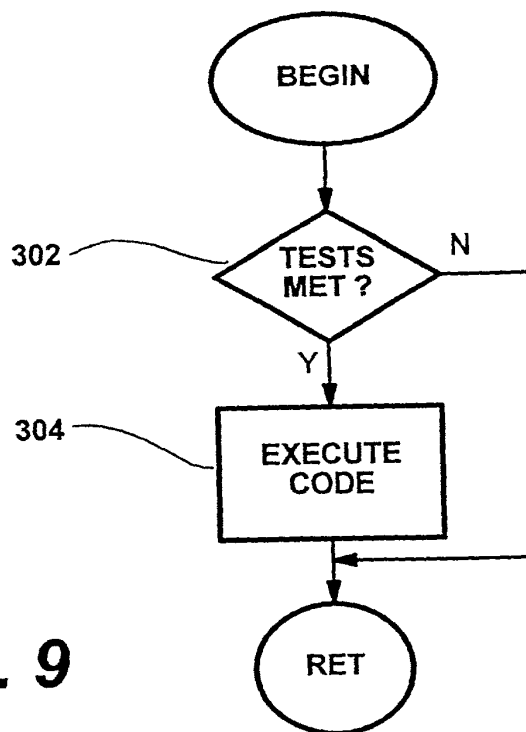
**FIG. 7**

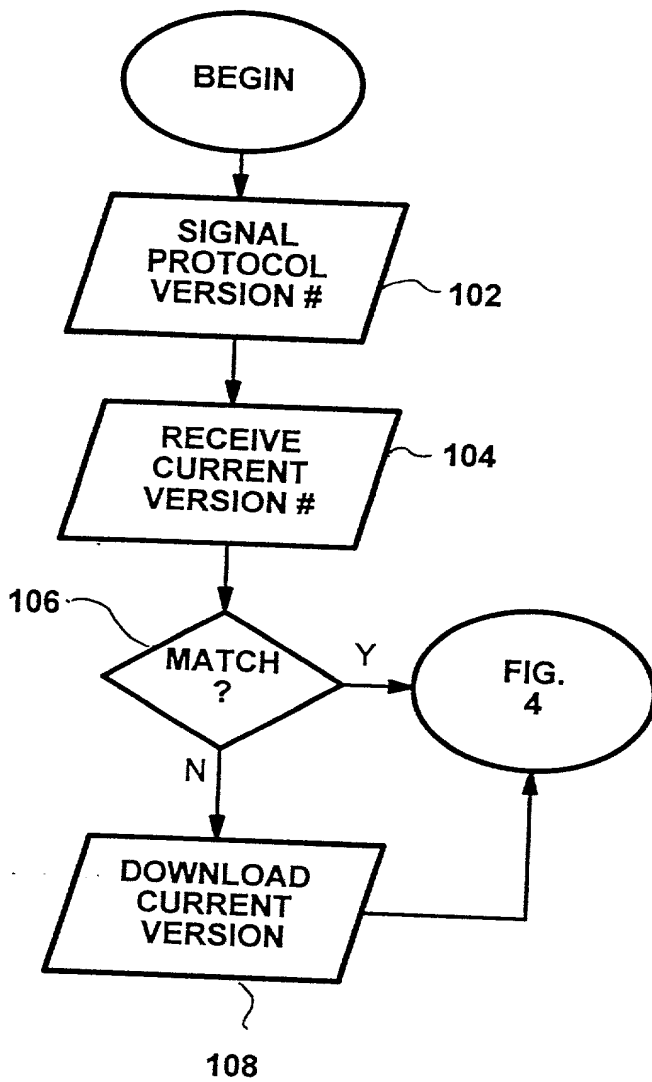
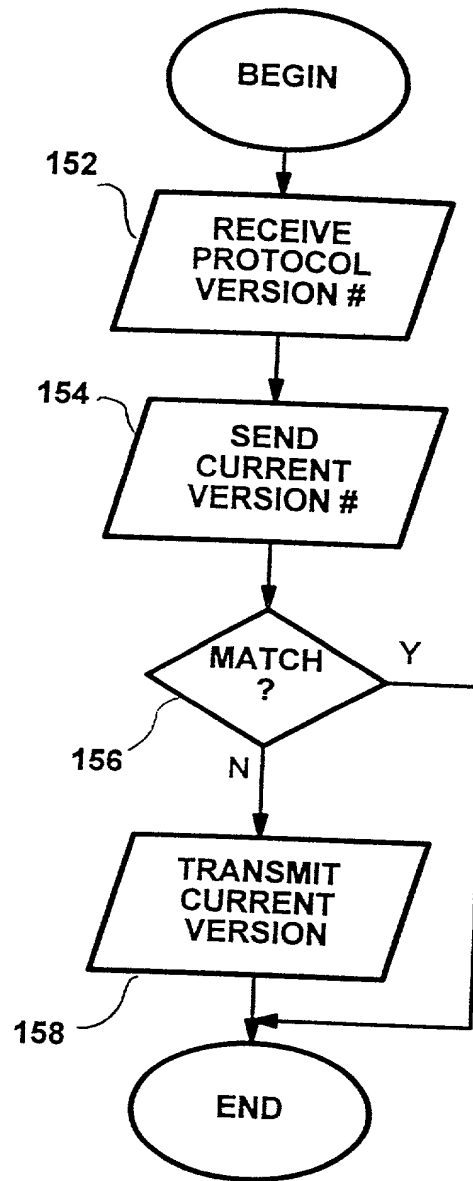
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Exception\_Response

201

Parameter	Parameter Description
Code	Executable code for action(s) that the access signalling process must perform

**FIG. 8****FIG. 9**

**FIG. 10a****FIG. 10b**

**RULE 63 (37 C.F.R. 1.63)**  
**DECLARATION AND POWER OF ATTORNEY**  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SIGNALLING  
the specification of which (check applicable box(es)):

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_.

☒ was filed as PCT international application No. PCT/ GB99/00986 on 30 March 1999 ✓

and (if applicable to U.S. or PCT application ) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

**Prior Foreign Application(s):**  
Application Number

Country

Day/Month/Year Filed

9806945.3 ✓

GREAT BRITAIN ✓

31 March 1998 ✓

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.  
Application Number

Day/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. §12, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

**Prior U.S./PCT Application(s):**  
Application Serial No.

Day/Month/Year Filed

Status: patented  
pending, abandoned

PCT/GB99/00986 ✓

30 March 1999 ✓

PENDING

I hereby declare that all statements made herein of my own knowledge are true and that statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And I hereby appoint NIXON & VANDERHYE P.C., 1100 North Glebe Road, 8th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed), and the following attorneys thereof (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Paul J. Henon, 33626; Jeffery H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam Jr., 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; William J. Griffith, 31260; Robert A. Molan, 29834.

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